



CERTIFICATE OF MAILING

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ELI LILLY AND COMPANY

By

*YSRoades*

Date

*9-23-04*

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants	:	Jeffrey D. Watkins, et al.	)	Confirmation No:
			)	1958
Application No.:		10/849,615	)	
			)	
Filing Date:		May 20, 2004	)	
			)	
For	:	CD 20 Binding Molecules	)	
			)	
Docket No.	:	AME-09016 (Lilly Docket No. X-16760)	)	

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner for Patents  
Mail Stop Missing Parts  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is in response to a "Notice to File Missing Parts of Application" dated July 23, 2004, noting the absence of a declaration from the papers filed with the above-identified application.

Enclosed herewith are:

- 1) a copy of the Notice
- 2) a Declaration required under 37 C.F.R. 1.497(a)
- 3) Sequence Listing, disc and paper copy form, and Sequence Listing Statement as required by 1.821 (f),
- 4) an affirmation pursuant to 37 C.F.R. § 1.821(f); and
- 5) a Preliminary Amendment amending the specification to include the sequence listing.

Please charge Deposit Account No. 05-0840 in the amount of \$130 for the surcharge required by §1.16(e). The Commissioner is hereby authorized to charge any additional fees which may be required by this Response, or credit any overpayment, to Deposit Account No. 05-0840. An original and two copies of this paper are enclosed.

Serial No. 10/849,615

-2-

Respectfully submitted,

*Paula K. Davis*

Paula K. Davis

Attorney for Applicants

Registration No. 47,517

Phone: 317-433-3422

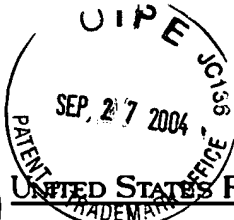
Eli Lilly and Company

Patent Division/PKD

P.O. Box 6288

Indianapolis, Indiana 46206-6288

Sept. 23, 2004



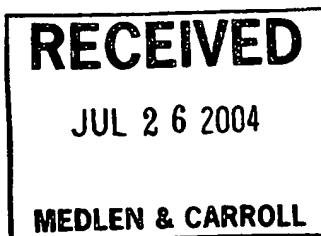
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*TRANSFERRED*

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/849,615	05/20/2004	Jeffrey D. Watkins	AME-09016

MEDLEN & CARROLL, LLP  
 Suite 350  
 101 Howard Street  
 San Francisco, CA 94105



CONFIRMATION NO. 6801

FORMALITIES LETTER



\*OC000000013320638\*

Date Mailed: 07/23/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

09/28/2004 DTESSEM1 00000069 050840 10849615

01 FC:1051 130.00 DA

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

*Due 9-23-2004***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at

patin21help@uspto.gov or patin3help@uspto.gov

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY